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Al-Ğāḥiz and 'Abd al-Ğabbār on the Necessity of Imamate. A Note on the Fate of Mu'tazilite Political Ideas

Abstract

The article is devoted to some aspects of the political theory of the eminent Arab thinker A1- \check{G} \bar{a} \dot{h} \dot{i} \dot{z} (d. 869), especially in the context of his influence on later generations of religious and political thinkers, in this case on $Q\bar{a}d\bar{q}$ 'Abd a1- \check{G} abb \bar{a} r (d. 1025).

The political ideas of A1- \check{G} \bar{a} \dot{h} i z were analysed from many different angles. In one of her very recent studies Asma Afs aruddin has pointed to the importance of these ideas for later Islamic political thought, and suggested that it might be worthwhile to ask to what extent A1- \check{G} \bar{a} \dot{h} i z's ideas influenced later generations of religious and political thinkers. Being a Mu'tazilite A1- \check{G} \bar{a} \dot{h} i z is listed within the group representing the theological current in Muslim political thought, which, to some extent, is true, especially when he discusses one of the major political disputes of early Islam – the first *fitna*, i.e. the strife concerning 'Utmān and 'Alī. But because of his Mu'tazilite background it could not be excluded that some of his ideas reveal Hellenistic origin.

Of utmost interest his impact on political thought. And it seems that 'A b d a l - \check{G} a b b \bar{a} r who is one of the late Mu'tazilites would be the best candidate for such an analysis since his monumental *Kitāb fī al-imāma* has not yet been studied. It might be worthwhile to check whether the ideas present in A l - \check{G} \bar{a} \dot{h} i z's works found their way to 'A b d a l - \check{G} a b b \bar{a} r. The Qādī 'A b d a l - \check{G} a b b \bar{a} r (d. 1025) is an unquestionable authority in the late

¹ Asma Afsaruddin, Lessons from the Past: Piety, Leadership, and Good Governance in the Risālat al-'Uthmāniyya (in: Al-Jāḥiz: A Muslim Humanist for our Time, ed. By A. Heinemann, J.L. Meloy, T. Khalidi, M. Kropp, Beirut 2009, pp. 175–196) where she discusses the later fate of these ideas and terms used by him.

generation of Mu'tazili thinkers. An encyclopedic mind, a great scholar, author of numerous theological and philosophical works. His political ideas are distinctly Mu'tazili and are a continuation and development of earlier Mu'tazili political thinking. These ideas are preserved in his major work Al- $Mu\bar{g}n\bar{i}$ $f\bar{i}$ $abw\bar{a}b$ al-'adl wa-at- $tawh\bar{i}d$ in a special volume devoted to the imamate – the $Kit\bar{a}b$ $f\bar{i}$ al- $im\bar{a}ma^2$ and partly also in his Sarh al- $us\bar{u}l$. In later literature, however, the authority of Al- $G\bar{a}hi\bar{z}$ seems to be forgotten. In this paper, I attempt to show the impact of Al- $G\bar{a}hi\bar{z}$ spolitical ideas on $Q\bar{a}d\bar{i}$ 'Abd al- $G\bar{a}b\bar{a}\bar{i}$ and particularly his $Kit\bar{a}b$ $f\bar{i}$ al- $im\bar{a}ma$, classified as the 20^{th} volume of Al- $Mu\bar{g}n\bar{i}$ $f\bar{i}$ $abw\bar{a}b$ al-'adl wa-at- $tawh\bar{i}d$.

Although Al- \check{G} ā ḥ i z is mentioned in *Al-Imāma* only a couple of times – II, 113 and II, 139 – it seems that his ideas could be traced in the whole work. It is also possible that Al- \check{G} ā ḥ i z influenced 'Abd al- \check{G} abbār undirectly first of all through Al- \check{G} ubbār' ī's: Abū 'Alī – the father and Abū Hāšim – the son who are for 'Abd al- \check{G} abbār unquestionable authorities.³

It was Charles Pellat who gave the first and most complete overview of Al-Ğāḥiz's political ideas.⁴ His starting point were the main political issues of that time: the succession to the Prophet Muḥammad, the murder of 'Utmān and succession after him, the Battle of the Camel and Ṣiffīn and lastly the Abbasid dynasty. The questions asked were manifold. How should the community establish the imam? Who are those to elect him? How should the election be conducted? Could be the ruler be overthrown?⁵

But there are also theoretical questions which, in their turn, arose from the discussion of historical events. These questions pertained to the necessity of the imamate and caliph, what are the qualities of the candidate, ways of establishing the imam, replacing or deposing the imam.

This is not yet a systematical analysis. I envisage here only to pinpoint some of the salient problems which might prove interesting in further analysis.

In the political discourse of the Muslim scholars, one of the essential questions posed was whether political power, that is the imamate, as such was necessary at all. This question was particularly interesting to the Mu'tazilites since it offered an ample ground for discussion and scholastic argumentation of all sorts. Moreover, within this group there were thinkers who openly contested the necessity of political power. The idea that an imam was essentially not necessary can be thus labeled as a Mu'tazilite invention. It was discussed by the A1-A \pm a m m (816/817), An-Na \pm z \pm m m (835/845), Hišām a1-Fuwatī (ca. 840), 'Abbād Ibn Sulaymān (ca. 870) and the so-called

² Al-Qāḍī 'A b d a l - Ğ a b b ā r *Muḡnī fī abwāb al-'adl wa-at-tawhīd. Fi al-imāma*, pt. I-II, ed. by Maḥmūd Muḥammad Qāsim, Al-Qāhira n.d. His edition is here referred to as *Imāma*.

³ On them: 'Alī Fahmī Ḥ u š a y m, Al-Ğubbā'iyyāni. Abū 'Alī wa-Abū Hāšim, Ṭarābulus 1967.

⁴ Ch. Pellat, L'Imamat dans la doctrine de Čāḥiz, "Studia Islamica"15, 1961, pp. 23-52.

⁵ J. van Ess, Theologie und Gesellschaft im 2. und 3. Jahrhundert Hidschra. Eine Geschichte des religiösen Denkens im frühen Islam, Band IV, Berlin 1997, p. 700.



Mu'tazilite ascetics (sūfiyyat al-mu'tazila).6 They all contended that the imamate was a human convention which could be dropped if its utility was lost.⁷

AL-ĞĀHIZ AND 'ABD AL-ĞABBĀR ON THE NECESSITY OF IMAMATE

The political ideas of A1-Asamm were reconstructed by Josef van Ess in his monumental Theologie und Gesellschaft.8 For A1-Asamm an absolute agreement of the community was necessary to establish the imam. 9 Still, he was rather flexible in his ideas, and even argued that a number of rulers could exist in one time, just to guarantee safety.

From his theory of social consensus Al-Aşamm drew the conclusion that human society could well do without a ruler, if it renounced any form of aggression and people would treat others with respect.¹⁰ We know that the idea of the necessity of the imamate might have been ascribed to Greek philosophers and it appears Aristotle's letter to Alexander preserved only in Arabic version: "A number of people thought that a ruler is needed only to conduct wars. And when the wars end the ruler is not needed any more" وقد ظن كثير من الناس أنه إنما يحتاج إلى المدبر القائم بالسنة في الحرب فإذا انقضت الحرب) From such a statement it of course does not الأمن والسَّكون استغنى عنه follow that the idea originated in Greece, but it is not impossible.

Similarly to A1 - As a mm, An - Nazzām was certain that people can do without an imam if they keep the laws of God, which meant for him following the Qur'ān and Sunna. He accepted the existence of a ruler, but such a ruler should be the most pious person.¹²

In Al-Gāhiz's opinion a ruler is absolutely necessary, since without him greed and avidity would lead people to treat each other tyrannically: ويتظالم الناس فيما بينهم This is so because people بالشرّه و الحرص المركّب في أخلاقهم، فلذلك احتاجو اإلى الحكّام do not discern right from wrong (A1-Ğāḥiz uses the Mu'tazilite terms tağwīr and ta'dīl). Rulers are therefore needed not only to keep people apart but also to teach them proper ways of life. He points to the affinity between rulers and prophets in this regard and distinguishes three types of rulers: messengers who are prophets and rulers, prophets who are rulers and rulers proper. 14 The views of A1-As a mm and his followers do not bother him in the least even though An-Nazzām was his venerated teacher.

⁶ Followers of Bišr Ibn Mu'tamir. About them: Van Ess, *Theologie...*, vol. III, pp. 130–133, and V, p. 329. P. Crone calls them all anarchists, cf. P. Crone, Ninth-Century Muslim Anarchists, "Past & Present", no. 167 (May, 2000), pp. 3-28.

⁷ P. Crone, *Medieval Islamic Political Thought*, Edinburgh 2005, p. 66.

⁸ J. van Ess, Theologie und Gesellschaft im 2. und 3. Jahrhundert Hidschra. Eine Geschichte des religiösen Denkens im frühen Islam, Berlin 1992, Band II, pp. 408-414.

⁹ Ibid., pp. 408–409.

¹⁰ Ibid., p. 410.

¹¹ J. Bielawski, M. Plezia, Lettre d'Aristote à Alexandre sur la politique envers les cités, Wrocław-Warszawa-Kraków 1970, p. 30.

¹² J. van Ess, op. cit., vol. III, Berlin 1992, p. 416.

¹³ Al-Ğāḥiz, Kitmān as-sirr wa-hifz al-lisān, in: Rasā'il, ed. 'A.M. Hārūn, vol. I, Al-Qāhira 1964, p. 161.

¹⁴ A1-Ğāḥiz, Maqāla az-zaydiyya wa-ar-rāfiḍa, in: Rasā'il, op. cit., vol. IV, p. 321.

156 JANUSZ DANECKI

If we take then the writings of 'Abd al-Ğabbār the points of discussion on political power are in some problems discussed similar to those found in Al-Ğāḥiz's work and different in other. 'Abd al-Ğabbār agrees that political power is indispensable for humanity. The starting point for the discussion is of course the very idea of political power and its necessity. Here, 'Abd al-Ğabbār turns to Al-Aṣamm's position and his idea of consensus (iğmā'): if consensus reigned people would not need a ruler. Quoting his beloved teacher Abū 'Alī al-Ğubbā'ī he states: if people were just, did not treat each other tyrannically and hudūd were applied, no imam would be needed (ale-equilibrial power) likely and hudūd were applied, no imam would be needed (ale-equilibrial power) likely ale-equilibrial power is likely and hudūd were applied, no imam would be needed (ale-equilibrial power) likely and hudūd were applied, no imam would be needed (ale-equilibrial power) likely and hudūd were applied, no imam would be needed (ale-equilibrial power) likely and hudūd were applied, no imam would be needed (ale-equilibrial power) likely and hudūd were applied, no imam would be needed (ale-equilibrial power) likely and hudūd were applied, no imam would be needed (ale-equilibrial power) likely ale-equilibrial power agree with each another: likely ale-equilibrial power agree with each another agree with each ano

In his argumentation, Al-Ğāḥiz never touches upon yet another subject very important in later discussion namely that of the nature of power and whether it is necessitated by reason or by mind. In classical Islamic political literature this was always an important point of discussion. For 'Abd al-Ğabbār this is not only an important subject, but also an occasion to use all the vast Mu'tazilite concepts and terminology.

'A b d a l - Š a b b ā r discusses the problem on the basis of differences in approach to the very problem. He speaks of different attitudes towards the necessity of the existence of imam: some maintained that it is necessary by itself (aṣlan), and others maintained that reason ('aql) necessitates it, still other groups pointed out to revelation (sam') (Imāma I, 16). In a lengthy exposition (Imāma I, 17-40), he rejects the idea that political power is necessitated by reason. Here the Mu'tazilite concept of taklīf – preordained divine obligation – plays essential role. If imamate was necessary because of reason it should have some aspect of necessity because that in which there is not an aspect necessitating it from the point of view of reason can be divided only into two types (نوجبت من جهة العقل، لوجبت أن يكون لها وجه وجوب، لأن ما لا وجه فيه يجب لأجله من جهة العقل، لوجبت أن يكون لها وجه وجوب، لأن ما لا وجه فيه يجب لأجله من جهة العقل، لوجبت أن يكون لها وجه وجوب، لأن ما لا وجه فيه يجب لأجله من جهة العقل، لوجبت أن يكون لها وجه وجوب، لأن ما لا وجه فيه يجب لأجله من جهة العقل، لوجبت أن يكون لها وجه وجوب، لأن ما لا وجه فيه يجب لأجله من جهة العقل، لوجبت أن يكون لها وجه وجوب.

If not by reason, then it is necessitated by revelation: ما يدل على أن الإمام إنما يراد لأمور سمعية كإقامة الحدود وتنفيذ الأحكام وما شاكلها من جهة العقل أن الإمام إنما يراد لأمور سمعية كإقامة الحدود وتنفيذ الأحكام وما شاكلها (Imāma I, 39). The argumentation is typical of late Mu'tazilite scholastic method. A number of detailed arguments are added. The $Qur'\bar{a}n$ speaks of $hud\bar{u}d$ – argues 'A b d a 1- \ddot{G} a b b \bar{a} r – and somebody has to implement them: it is the imam ($Im\bar{a}ma$ I, 41). Such an imam is established by God, his messenger or the people.

The imam however should be characterized by a number of traits by which he differs from the rest of mankind:

¹⁵ In other words if something is not rationally justified it must be ordained by God (mukallaf).

قد اعتمد شيخانا على ما وردبه الكتاب من إقامة الحدود ... وقد ثبت أن ذلك من و اجبات الإمام دون سائر الناس، فلا بد من إمام يقوم به، فإذا لم يمكن كون الإمام إلا بإقامة الله تعالى ورسوله أو بإقامتنا بعد معرفة الصفة، فلا بد من حصله ببعض هذه الوجوه، فإذا فقد النص، فليس إلا وجوب إقامتنا.

Since the implementation of $hud\bar{u}d$ is the essential reason for establishing an imam, there always must be an imam: if the ruling one is vanquished and it is impossible to rescue him (إذا كان مغلوبا لا يمكن استنقاذه), people should choose another ($Im\bar{a}ma$ I, 45).

Qualities of the imam

The first question in the discussion over the legitimacy of power is: who is the best possible -al-afdal - candidate for the office of imam/caliph?

Al-Ğāḥiz exposes his evaluation in the following way:

وإِن قالوا: فما صفة أفضَّلهم قلنا: أن يكون أقوى طبائعه عقله ثم يصل قوة عقله بشدة الفحص وكثرة السماع ثم يصل شدة فحصه وكثرة سماعه بحسن العادة فإذا جمع إلى قوة عقله علما وإلى علمه حزما وإلى حزمه عزما فذلك لذي لا بعده.

"If we were asked: what is the description of the best? We would reply: The strongest trait of his character should be his intelligence paired with intellectual curiosity and wide erudition and intellectual curiosity and wide erudition should be associated with good habits. Then when intellect is allied to learning, and learning to energy, and energy to decisiveness, there is no need for anything else." ¹⁶

Charles Pellat has already noted that Al- $\check{G}\bar{a}\,\dot{h}\,i\,z$ theoretically at least rejects the $mafd\bar{u}l$ – a less meritorious candidate. ¹⁷

In this matter, 'Abd al- \check{G} abb \bar{a} r is very meticulous. He points out to different views: those who do not accept imamate of *al-afḍal*, those who accept *al-mafḍāl*.

إنما عقد لأبي عنده الأفضل عندهم، فهو كالأفضل، وربما عقدوا له وإن رأوا أن غيره بكر لأنه وإن لم يكن هو الأفضل عندهم، فهو كالأفضل، وربما عقدوا له وإن رأوا أن غيره بكر لأنه وإن لم يكن هو الأفضل عندهم، فهو كالأفضل، ولا بد عندهم من هذين الوجهين في العاقدين أفضل منه لعذر اقتضى العدول إلى المفضول. ولا بد عندهم من هذين الوجهين في العاقدين ألسق (Imāma I, 216). Abū Bakr was elected imam – even though he was not the most meritorious for them, but was like the most meritorious. It often happens that an imam is established even if it is clear that somebody else is better when for some reason it is necessary to turn to a less meritorious (al-mafdūl). Surely these two points of view were considered by those who established Abū Bakr.

'Abd al- \check{G} abb \bar{a} r uses to terms: similar to the most meritorious (or: almost the best: (ka-al-afdal)) and less meritorious $(al-mafd\bar{a}l)$, clearly accepting that a less meritorious

¹⁶ Ch. Pellat, *The Life and Works of Jāḥiz*, p. 65; Al-Ğāḥiz, *Al-Ğawābāt fi al-imāma*, in: *Rasā'il*, ed. 'A.M. Hārūn, vol. I, Al-Qāḥira 1964, p. 305.

¹⁷ Ch. Pellat, L'Imamat dans la doctrine de Čāḥiz, p. 43.

158 JANUSZ DANECKI

person might become the imam if the situation requires it. He credits with this opinion Abū 'Abd Allāh (a l - B a s r ī)¹⁸, who regarded 'Alī as the best.¹⁹

For Al-Ğāhiz such an attitude is unacceptable. He is all against the rafidites, i.e. extreme Shi'ites who denied the lawfulness of the first three caliphs. He would rather accept the Zaydis.²⁰ Hence his questioning the precedence of 'Alī over Abū Bakr.

A1-Ğāḥiz's argument must have been strong, since 'Abd a1-Ğabbār repeats it quoting Al-Ğāhiz:

وقال شيخنا ابو عثمان الجاحظ في ذلك لا فرق بين من يخبر الر اوي بأن إسلامه كان إسلام صغير وبين الخبر بأن سنه في وقت إسلامه ما لم تجر العادة بأن الإسلام يصح معه. قال ومنى قيل: إنه يختص بكمال العقل مع صغر سنه فذلك - إما نقضن عادة كالمعجز

إلى تعليل كان الم يبلغ المعجز وإما أمر نادر و إن لم يبلغ المعجز وكلا الوجهين كان يجب أن ينقل ويظهر، والذي قدمناه يمنع ذلك، لأنه لوثبت أنه عليه السلام أنه قال: "علي أول من آمن بي" وجب حمله على الإيمان الصحيح، وكذلك إذا قال لفاطمة: زوجتك أقدمهم إسلاما" والروايات في ذلك كثيرة. (Imama II, 139)

Here, 'Abd al-Ğabbār repeats the argument of Al-Ğāḥiz adding however his own commentary, and showing the incompatibility with other reports in which the Prophet is said to maintain that Fātima was the first to embrace Islam.

It has been noted that the most meritorious person has to possess a number of exclusive qualities. A1-Gāhiz singled a number of them, such as: intelligence (عقله قوة), intellectual curiosity (شدة الفحص), wide erudition (عقله قوة), good habits (عزم) and decisiveness (حسن العادة).

'Abd al-Gabbār marshals a number of qualities (awṣāf) calling them canonical – šarʻiyya, since imamate is founded on the law (إثبات الإمامةشر عي). He starts with the ability to perform what has been entrusted to him: متمكن من القيام بمَا فوضَّ إليه مع السلامة فيما يتصل بالقدرة والتمكين وزوال الأوقات وثبات القلب يدخل في ذلك (Imāma I, 198). Knowledge is as well important though first of all it concerns his duties as a ruler: عالم بكيفية ما فوض إليه ليفعله على ما يجوز، في حكم العالم بذلك.

For A1-Ğāḥiz knowledge and learning belong to the essential qualities of an imam. Typically for him, he states that "knowledge gained from books is better: it better reaches people (ablag) than entertaining them since meetings strengthen artificiality, improper treatment, avoidance of help and creates excessive zeal" وإعلم أن قراءة الكتب أبلغ في

Abū 'Abd Allāh al-Baṣrī as a Basran Mu'tazilite, whom 'Abd al-Ğabbār calls his šayh. He was the author of Kitāb at-tafḍīl, therefore called Al-Mufaḍḍil.

¹⁹ 'Abd al-Ğabbār, *Šarḥ al-uṣūl*, p. 767, cf. also 'Alī Fahmī Ḥušaym, *Al-Ğubbā'iyyāni. Abū 'Alī* wa-Abū Hāšim, Tarābulus 1967, p. 290.

²⁰ J. van Ess, op. cit., vol. IV, p. 96.



إرشادهم من تلاقيهم إذكان مع التلاقي يقوى التصنع ويكثر النظالم وتقرط النصرة وتنبعث إرشادهم من تلاقيهم إذكان مع التلاقي يقوى النصنة 21 Here Al-Gāḥiẓ repeats his eulogy of books.

AL-ĞĀḤIZ AND 'ABD AL-ĞABBĀR ON THE NECESSITY OF IMAMATE

'A b d a l - Ğ a b b ā r is less idealistic, he rather concentrates on the pragmatic side of the ruler's knowledge: he should know what is useful for his office: أنه لا يشترط أنه يختص (Imāma I, 208) في ذلك من العلم ما لا تعلق له بما يقوم به الإمام فالذي يجب أن يختص (Imāma I, 208). In other words, 'A b d a l - Ğ a b b ā r advocates harmony between imam's knowledge and the tasks he should perform. In this harmony he sees the idea of being the best (al-afḍal) of his contemporaries, as also postulated A1- Ğ ā ḥ i z.

However, for 'Abd al-Ğabbār it is necessary that the imam should listen to the advice of learned men ('ulamā'): المحالية عندنا حاجته الى العلماء الله (Imāma I, 213). But the task of the 'ulamā' is different than that of imam: they create and interpret laws, and he applies them: فأما ما يختص به الإمام من حاجة إيه فهو غير العلم، لأنه بمنزلة العدود والأحكام وغيرها مما قدمنا سائر العلماء في هذا الوجه، وإنما يحتاج إليه في إقامة الحدود والأحكام وغيرها مما قدمنا ذكرها، فالحاجة إليه صحيحة غير متناقضة، لأن العلماء يحتاجون إليه في غير الوجه الذي (Imāma I, 213–214).

He should be reasonable ('āqil), since without reason he could not perform his function and could not be better than others. This is guaranteed by reason. Moreover he must be knowledgeable about the customs, use his intelligence and knowledge (اقام عارفي ومعرفة الأمور (Imāma I, 201). His knowledge of warfare is indispensable. For 'Ābd al-Ğabbār reason is also important in the way that it guides his behaviour and decisions: فأما كونه عاقلا فالعقل يقنضيه، لأنه لا يجوز أن (Imāma I, 201). This reason should be supplemented by experience (ma'rifat al-umūr).

Discussing knowledge 'Abd al-Ğabbār limits it to knowledge necessary to execute by the ruler his office. He is not supposed, for instance, to know all the languages and all professions: هند علمنا أنه لا يشترط في ذلك من العلم ما لا تعلق له بما يقوم بأن يعتبر أولى من الإمام وما يكون أصلا لذلك، لأنا متى اعتبرنا ذلك، لم يكن بعض العلوم بأن يعتبر أولى من بعض، وذلك يوجب كونه عالما بسائر اللغات وسائر الحرف وغير ذلك. وقد ثبت فساد . He should, however, excel in knowledge of the law.

The ruler must be free (*Imāma* I, 201) in order to freely act in what he has been entrusted with, so that no one can stop him, the more so that the imam is more important than the a normal ruler and therefore he cannot be a slave.

Amāna – trustworthiness – in a way is linked to the imam's merits, since he can be relied on in what he is doing: يختص بالأمانة التي معها يفع السكون إلى قيامه بما يفوض إليه و لا يكون كذلك إلا مع ظهور الفضل والأمانة.

Religiosity means that the imam not only must be religious (*mutadayyin*) but also a Muslim. Rulers and amīrs must be Muslims and since the position of the ruler is

²¹ Al-Ğā hi z, Al-Ğawābāt fi al-imāma, in: Rasā'il, ed. 'A.M. Hārūn, vol. I, Al-Qāhira 1964, p. 296.

²² Contained in *Al-Ḥayawān*, vol. I, p. 50 (Hārūn's edition) and translated into English with other excerpts: Ch. Pellat, *The Life and Works...*, pp. 130–132.

higher than theirs, he must be Muslim by all means. Al-Šāḥiz does not pay much attention to the piety of the candidate, at least in this theoretical exposition. In which he differs essentially from other Muʻtazilite authors who seem to be influenced by Ḥāriǧite movement. In Al-ʻUtmāniyya the question of piety as one of the essential merits of Abū Bakr is widely discussed.²³ On the other hand, 'Abd al-Šabbār juxtaposes piety to fusq (moral depravity) and goes as far as to state that by general agreement such a ruler should be deposed: يجري مجرى مجرى الإمام يجب أن يخلع بحدث يجري مجرى (Imāma I, 202).

For 'Abd al-Ğabbār piety is he first and essential trait to be considered. A whole chapter of Al- $Im\bar{a}ma$ is devoted to discussion of the problem whether a $f\bar{a}siq$ can become an imam (وأن أوامامة لا بد من أن يكون عدلا وأن إمامة لا بد من أن يكون عدلا وأن إمامة لا تجوز $Im\bar{a}ma$ I, 201).

The same reasoning is applied to justice: since witnesses and rulers must be just, so the ruler or imam, occupying a higher position, cannot be impious $-f\bar{a}siq$. An impious person cannot be trusted in just application of laws. And application of laws $-huq\bar{u}q$, $hud\bar{u}d$ and ahkam – is the essential prerogative of the ruler. Since it requires justice – $ins\bar{a}f$, the ruler must be just.

Also disputed was the Qurašī origin of the imam. It is interesting that A1-Ğāḥiz does not stress that the imam should belong to the tribe of Quraysh though if there are two equal candidates a Qurayšite should be preferred.²⁴ This is a typical attitude of the early Mu'tazilites. One could remind the opposite view of Dirār Ibn 'Amr (end of 8th c.), who maintained that in such case a non-Qurayšite should be elected since it is less dangerous for the integrity of the *umma*. If he is deposed there would be no danger of civil war.²⁵

ʻAbd al-Ğabbār position is in this case unequivocally on the side of the Qurayši origin of the imam. He devotes a whole chapter to the question quoting at first the hadith: الأثمة من قريش "imams are from the Qurayš" and then the affair of the Saq̄fa (portico) of Banū Sāʻida ($Im\bar{a}ma$ II, 234ff.). He only agrees with Al-Ğāḥiz that in case there are two equal candidates a Qurayši should be the imam ($Im\bar{a}ma$ I, 235). Curiously, he does only cite the authority of his shaykhs, meaning generally the Muʻtazilites (not only both Al-Ğubbā'īs). So he may well refer to Al-Ğāḥiz, though a couple of times he does it explicitly. As a scholastic dialectician he proceeds to refute a plethora of arguments against this fundamental, in his view truth, problem. Such as the fact that the traditionists were of the opinion that the literal understanding of this particular hadith does not exclude a non-Qurayshi imam.

²³ Afsaruddin, op. cit., pp. 180–181.

²⁴ Pellat, *L'Imamat...*, p. 43.

²⁵ Van Ess, op. cit., vol. III, p. 55.

In this particular question he again resorts to arguments on the difference between revelation and reason: since revelation is unequivocal, there is no discussion and the matter is settled $-faṣl\ al-maq\bar{a}l$.

Several times 'Abd al- \check{G} abb \bar{a} r refutes in a general way the argument about close relatives, saying that such a kinship ($qar\bar{a}ba$) has nothing to do with imamate ($Im\bar{a}ma$ I, 237). Al- \check{G} \bar{a} \dot{h} i \dot{z} is more flexible admitting that kinship is an argument in favour of a candidate, but again his merits based on religious knowledge are essential.²⁷

How many imams there should be?

For A1- \check{G} \bar{a} \dot{h} i z the number of reigning imams is open. In $\check{G}aw\bar{a}b\bar{a}t$ he says that it is reasonable that there is more than one imam, 'Abd a1- \check{G} abb \bar{a} r concedes to this idea, and postulates that according to reason there should be no obstacle to have more than one, since there were more prophets than one, there are many q \bar{a} dis. But it is the revelation – sam' – which dictates only one ($Im\bar{a}ma$ I, 243). A1- \check{G} \bar{a} \dot{h} i z has however reservations and as usual he resorts to reason: when there are many rulers they might be tempted to rivalry and this leads to chaos:

ومتى كانت الدواعي أقوى كانت النفس إلى الفساد أميل والعزم أضعف وموضع الروية أشعل والشيطان فيهم أطمع وكان الخوف عليهم أشد وكانوا بموافقة المفسد أحرى وإليه أقرب.

وإذا كان ذلك كذلك فأصلح الأمور للحكام والقادة إذا كانت النفوس ودواعيها ومجرى وإذا كان ذلك أدعى إلى صلاح أفعالها على ما وصفنا أن ترفع عنهم أسباب التحاسد والتغالب وإن ذلك أدعى إلى صلاح ذات البين وأمن البيضة وحفظ الأطراف.

وإذا كان الله تبارك وتعالى قد كلف الناس النظر لأنفسهم واستيفاء النعمة عليهم وترك الخطار بالهلكة والتغرير بالأمة وليس عليهم مما يمكنهم أكثر من الحيطة والتباعد من التغرير.

التغرير. ولا فصل منه فلما كان ذلك كذلك علمنا أنه إذا كان القائم بأمور المسلمين بائن الأمر متفردأ بالغاية من الفضل كانت دواعي الناس إلى مسابقته ومجارانه أقل والمباهاة والمنافسة.

²⁶ The story of Sālim, a mawlà of Abū Ḥudayfa is recorded by $A t - T a b a r \bar{\imath}$ ($T \bar{a} r \bar{\imath} h$, p. 1204) and classical political literature.

²⁷ Pellat, L'Imamat..., p. 43; Afsaruddin, op. cit., p. 177.

"The stronger they motives, the more their souls are prone to confusion; the more their strength falters, the less scope there is for mature reflection; and the more Satan lusts for dominion over them, the graver is the danger that threatens them and the closer are they to fomenters of chaos. This being so, the best thing for rulers and chieftainsmen's souls, their motives and the nature of human behaviour being as we have said-is for all scope for envy and rivalry, all desire to outshine and gain the ascendancy, to be removed, so that harmony may reign and peace be assured at the heart of the empire and in the outlying provinces. (...) If God so designed the world and its inhabitants, if he made them such that they are better off with a single imam, it is so that the latter may exist when they want him and seek him; for it is only common sense that God cannot compel human beings to set up that which does not exist or to raise up that which they do not know." ²⁸

'A b d a 1- \tilde{G} a b b \bar{a} r seems to be less shrewd in his reasoning although much more explicit in his argumentation bringing a number of arguments in favour of only one ruler. Quoting Abū Hāšim a 1- \tilde{G} u b b \bar{a} ' $\bar{1}$ that the existence of two imams could bring problems of loyalty to the people, he nevertheless cites arguments of his opponents that this is hardly acceptable (ba' $\bar{i}d$) since there can be many prophets الفيام واستدل (أبو هاشم) أين المناس ا

Who is to elect the imam. The electorate: al-'aqidun

Al- \check{G} \bar{a} \dot{h} i z sticks to his primary division of the society into the elite and the common people. It is the elite who should elect an imam because of their knowledge. The ' $\bar{a}mma$ might be led astray and elect an usurper.²⁹

'A b d a l - Ğ a b b ā r follows this argument but without opposing $h\bar{a}$ s, and 'amma. The electorate should consist of pious people whose advice can be trusted: فأما صفة المصالح، وأن المصالح، وأن المحالح، وأن يكونو امن أهل الستر و الدين ومن يوثق بنصيحته وسعيه في المصالح، وأن Moreover, they should be judicious, knowledgeable and meritorious: كانوا من أهل الرأي و الفضل (Imāma I, 252).

Ways of establishing an imam

A1- \check{G} \bar{a} \dot{h} i z justifies the right of the community to choose the imam. Since the prophet did not nominate a successor, he deemed it best for the community to choose one

²⁸ Ch. Pellat, The Life and Works..., p. 65; Al-Ğāhiz, Al-Ğawābāt fi al-imāma, op. cit., pp. 303–304.

²⁹ 'Utmāniyya, p. 262; Pellat, The Life and Works..., p. 80.

AL-ĞĀḤIZ AND 'ABD AL-ĞABBĀR ON THE NECESSITY OF IMAMATE

in a typically casuistic way he proceeds to explain هَإِذَ لَم يَخْتُرُهُ فَتُرَكُ اَخْتِيارُهُ خَيْرُ لَهُمُ). why should one draw the conclusion from the Prophet's abstention that he in reality wanted the community to decide. The same logic is applied to the Qur'ān. Should God want a definite procedure of establishing the leader, He would have laid it down and explained in a clear text. It is inconceivable that you should oblige God to establish an imam in فلو كان الله بيّن ذلك بالنص والتفسير دون الدّلالة ووضع العلامة، كان الخيرة، the text فلو كان الله بيّن ذلك بالنص عليه فتركه الأمر الله لا يصنع إلا ما هو خير. فلو لم يفعل ذلك ولم ينص عليه فتركه الأمر

'Abd al-Gabbār accepts this view although he relates it to the people knowing who is the best (al-af,dada) of the caliphs: لا طريق إلى معرفة ذلك إلا من جهة السمع فما لم Neither the Qur, an nor the Prophet ever state who is the most meritorious person for the office of imam (Imāma II, 117).

Al-Gāḥiz postulates three ways of establishing an imam:

- overthrowing a tyrant with due expectation and taqiyya applied;
- the way 'Utmān was elected: 'Umar designated six persons who elected him;
- the way Abū Bakr was elected: because of his merits which were obvious to the $umma.^{32}$
 - 'Abd al-Ğabbār presents six different ways of establishing an imam:

A person is known by his merits (fadl wa-sābiqa, Imāma I, p. 253) and that there is no one comparable. Clearly, he alludes to the precedence of Abū Bakr.

One person nominates a caliph, usually in situations of danger or pressing necessity (*Imāma* I, 254).

An usurper takes over the power and is backed by others. It was the case of 'Umar Ibn 'Abd al-'Azīz (*Imāma* I, 255–256).

An imam is designated by text (naṣṣ), as in the case of Abū Hāšim. This necessitates the acceptance $(rid\bar{a})$ of the community (Imāma I, 256).

A caliph dies and there is a group of people fit to replace him. They should consult each other and choose the best. This was the case of Abū Bakr who was chosen with the acceptance of Abū 'Ubayda, Sālim mawlà of Hudayfa, Usayd Ibn Hudayr and Bašīr Ibn Sa'd on the advice of 'Umar (*Imāma* I, 256).

The most meritorious and pious choose between themselves an imam: it requires an allegiance between them: five should agree to choose the sixth (*Imāma* I, 257). Here he clearly alludes to the designation of 'Umar, which is later explicitly described in *Imāma* يصير الإمام إماما بعهد الإمام إليه إذا وقع برضا الجماعة فيصير بمنزلة عقد الواحد .II, 6ff In other words, an actual event becomes a rule.

In both theories – that of $A1-\check{G}\,\bar{a}\,\dot{h}\,i\,z$ and ' $A\,b\,d$ $a1-\check{G}\,a\,b\,b\,\bar{a}\,r$ – the historical background is of essential importance. All the problems of imamate are discussed in the context of previous caliphs and their actual qualities and actions. Yet both authors tend

³⁰ 'Utmāniyya, p. 278; Pellat, The Life and Works..., p. 82.

³¹ 'Utmāniyya, pp. 278–279; 270, Pellat, The Life and Works..., p. 82.

^{32 &#}x27;Utmāniyya, p. 270; Pellat, The Life and Works..., pp. 81-82; Pellat, L'Imamat..., pp. 46-47.

to distill from this historical background their theoretical prescription for the choice of the imams.

'A b d a l - \check{G} a b b \bar{a} r's knowledge though sometimes reflecting that of A l - \check{G} \bar{a} \dot{h} i \dot{z} is yet consistent with much later theories and discussion with opponents.